

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Timothy Chen, et al.  
Title: METHOD AND APARATUS FOR A VOLTAGE CONTROLLED  
START-UP CIRCUIT FOR AN ELECTRONIC BALLAST  
Serial No.: Unknown  
Filing Date: Herewith  
Attorney Docket No.: 135432 (GECZ 2 00680)  
Date: September 22, 2003

INFORMATION DISCLOSURE STATEMENT

MAIL STOP PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

[X] 1. A list of documents on Form PTO-1449 or Substitute. Copies of the cited document are not required.

This paper is submitted in accordance with:

[X] 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

[ ] 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

[ ] a. The required Certification made in item 5 below; or

[ ] b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 as Express Mail No. EV 340035235 US on September 22, 2003 and is addressed to the Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O.Box 1450, Alexandria, Virginia 22313-1450.

Name Mary M. Schriener

Date September 22, 2003

Signature:

*Mary M. Schriener*

☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

- a) The required Certification is stated in item 5 below; and
- b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

☐ 5. Certification

- ☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

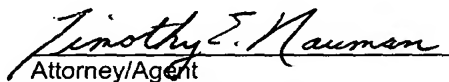
☐ 6. Payment of all applicable fees:

- Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. \_\_\_\_\_.
- Enclosed is a check in the amount of \$\_\_\_\_\_ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully submitted,

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Attorney/Agent  
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S)</b>	<b>Complete if Known</b>	
	Application Number	Unknown
	Filing Date	Herewith
	First Named Inventor	Timothy Chen
	Art Unit	Unknown
Examiner Name	Unknown	
Sheet 1 of 1	Attorney Docket No.	135432 (GECZ 2 00680)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document No. Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-5,177,408	Jan. 05, 1993	Marques	
	AB	US-			
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			
	AK	US-			
	AL	US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code-Number Kind Code (if known)				
	AM					<input type="checkbox"/>
	AN					<input type="checkbox"/>
	AO					<input type="checkbox"/>
	AP					<input type="checkbox"/>

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examine r Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		T
	AQ			[
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Examiner Signature		Date Considered	
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